Financial Designs Wealth Strategies: Documents Needed

Some or all of the following documents provide information that can be important as we work together to create a strategy. This material will be treated confidentially in accordance with our privacy policy. Here is your checklist.

Most Recent Payroll Stub

- Self
- Spouse/Partner

Income Tax Returns (Previous 2 Years)

- Self
- Spouse/Partner (if filing separately)
- Business

Social Security Statements

- Self
- Spouse/Partner

Current Financial Statements

- Personal Net Worth
- Monthly Expenses
- Business Balance Sheet

Financial Plan Analysis

- Existing Plan
- Existing Needs Analysis

Wills/Trusts

- Self
- Spouse/Partner

Divorce Decree/Separation Agreement/Nuptial Agreements

- Self
- Spouse

Insurance/Annuity Contracts/Statements/ Inforce Illustrations

- Life
- Group Insurance
- Disability
- Health
- Annuities

Loan Agreements and Amortization Schedule

- Mortgage
- Other

Savings and Retirement Statements

- Pension Plan
- Keogh/SEP
- Savings
- 401k/Tax Sheltered Annuity/Employee-Deferred Compensation
- Mutual Funds
- Brokerage Account
- Profit-Sharing
- IRA/Roth IRA

Company Benefit Statement/Booklet

- Self
- Spouse/Partner

Stock Options

- Self
- Spouse/Partner

Business Documents

- Buy/Sell Agreement
- Deferred Compensation
- Wage Continuation
- Group Benefit Program
- Other Employee Benefits