

# Financial Designs Wealth Strategies: Documents Needed

Some or all of the following documents provide information that can be important as we work together to create a strategy. This material will be treated confidentially in accordance with our privacy policy. Here is your checklist.

## **Most Recent Payroll Stub**

- Self
- Spouse/Partner

## **Income Tax Returns (Previous 2 Years)**

- Self
- Spouse/Partner (if filing separately)
- Business

## **Social Security Statements**

- Self
- Spouse/Partner

## **Current Financial Statements**

- Personal Net Worth
- Monthly Expenses
- Business Balance Sheet

## **Financial Plan Analysis**

- Existing Plan
- Existing Needs Analysis

## **Wills/Trusts**

- Self
- Spouse/Partner

## **Divorce Decree/Separation Agreement/Nuptial Agreements**

- Self
- Spouse

## **Insurance/Annuity Contracts/Statements/Inforce Illustrations**

- Life
- Group Insurance
- Disability
- Health
- Annuities

## **Loan Agreements and Amortization Schedule**

- Mortgage
- Other

## **Savings and Retirement Statements**

- Pension Plan
- Keogh/SEP
- Savings
- 401k/Tax Sheltered Annuity/Employee-Deferred Compensation
- Mutual Funds
- Brokerage Account
- Profit-Sharing
- IRA/Roth IRA

## **Company Benefit Statement/Booklet**

- Self
- Spouse/Partner

## **Stock Options**

- Self
- Spouse/Partner

## **Business Documents**

- Buy/Sell Agreement
- Deferred Compensation
- Wage Continuation
- Group Benefit Program
- Other Employee Benefits

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